

## **SRA Consultation Paper 8 – Changes in regulatory processes for firms and individuals**

### **LSC Response**

#### *Question 1*

*We believe that two annual renewal processes should be required—one process for individual practising certificates and one process for firm recognition. Do you agree? Please explain your answer.*

**We agree that two annual renewal processes are required.**

#### *Question 2*

*We believe it makes sense for the two renewal processes—the process for individual practising certificates and the process for firm recognition—to take place at the same time each year ([paragraphs 13–16](#)). We believe it makes sense for individuals, for firms and for the SRA. Do you agree? Please explain your answer.*

**We agree.**

#### *Question 3*

*We propose to introduce a simple online process that will allow each solicitor to renew his or her practising certificate ([paragraphs 18–19, 24](#)).*

*The system will associate solicitors with the firms that employ them. Employers will be able to make a single payment for all practising certificates, as they do currently, and they will be able to confirm that the solicitors they employ have renewed.*

*In this context, and considering the question from the firm's viewpoint, do you agree that each solicitor should be responsible for renewing his or her practising certificate? Please explain your answer.*

**We agree that it should be the individual solicitor's responsibility regarding their own practicing certificates.**

*In the same context, but considering the question from the individual solicitor's viewpoint, do you agree that each solicitor should be responsible for renewing his or her practising certificate? Please explain your answer.*

**We agree that it should be the individual solicitor's responsibility regarding their own practicing certificates.**

#### *Question 4*

*We are keenly interested in anything you can tell us about the practicality of the operating processes we propose ([paragraph 24](#)). We will take your comments into account in our process design work.*

**n/a**

Question 5

*It is clear that we need to have online capability to deliver an efficient service. We believe we should make online applications/renewals the norm by charging an additional amount to those who request a paper process ([paragraph 9](#)). Do you agree? Please explain your answer.*

**We agree subject to appropriate security of data. We believe that on-line process will improve efficiency and make it easier for people to access/share that information (subject to appropriate legal controls).**